

RALPHIE'S HOT TOPIC



March 2011

Violation Penalties

PROTECT
YOUR  TEAM

NCAA Violations

- ◉ There are many different types of violations including Major Infractions, Secondary Infractions (Level I or Level II) as well as conference and institutional violations.
- ◉ Level II secondary violations of NCAA rules often have a prescribed penalty that must be applied.
- ◉ If a specific violation does not have a prescribed penalty, the institution, conference and/or NCAA use case precedence to set the penalties. Quite often, the compliance office DOES NOT determine the self-imposed penalties.

NCAA Violations

- Examples of Secondary Level II Violations and prescribed penalties:

Bylaw	Violation	Penalty
13.1.3	One excessive phone call made to a recruit.	Must skip the next two options to call the recruit.
17	Team exceeded daily practice limitations by 1 hour.	Must reduce practice the next week by 2 hours (2 for 1 penalty).

NCAA Violations

- ⊙ The NCAA considers a level II violation to become a level I violation if it meets one of the following:
 - ⊙ - **An intentional violation,**
 - **A similar violation that has occurred in the same sport during that year,**
 - **Any violations that are not isolated or limited to a single occurrence. (e.g. if three SAs are involved in a level II, it could become a level I due to the number of SAs involved).**

NCAA Violations

- Football and Men's Basketball could potentially have escalated penalties for the same type of violations. Below is an excerpt from the NCAA.
- **At the recommendation of the American Football Coaches Association (AFCA), the DI and DII Committees on Infractions have agreed to support the imposition of enhanced penalties, including game suspensions of coaches, for some specified secondary violations of NCAA football recruiting legislation. Numerous types of violations that should result in enhanced penalties, including, but not limited to:**
 - Communication violations (e.g., phone call and text message violations);
 - Impermissible contacts/evaluations;
 - Impermissible recruiters;
 - Provision of benefits to individuals associated with prospects;
 - Exceeding coaching staff limitations; and
 - Use of impermissible recruiting aids and game-day simulations.
- **As a result, penalties for recruiting violations could now result in significant recruiting restrictions, as well as suspension of coaching-related activities for one or more contests, among other penalties.**

NCAA Violations

- ◉ Depending on the value of an extra benefit received, student-athletes and prospects could potentially be withheld from competition.
- ◉ Extra benefit penalty schedule:

- ◉ **Current Student-Athlete**

Benefit < \$100, reinstatement based upon repayment.

Benefit \$100-\$300, 10% withholding and repayment.

Benefit \$301-\$500, 20% withholding and repayment.

Benefit \$501 and up, 30% withholding and repayment.

- Prospective Student-Athlete**

Benefit < \$500, reinstatement based upon repayment.

Benefit \$501-\$700, 10% withholding and repayment.

Benefit \$701-\$1000, 20% withholding and repayment.

Benefit \$1001 +, 30% withholding and repayment.

NCAA Violations

- Withholding percentage examples:

Sport	10%	20%	30%
Basketball	3	6	9
Football	2	3	4
Golf	3	5	8
Ski	2	4	5
Soccer	2	4	6
Tennis	3	5	8
Track	2	4	6
Volleyball	3	6	9

NCAA Violations

- ◉ Why did Perry Jones III (Baylor men's basketball) have to sit during the Big 12 tournament but the Ohio State football student-athletes were allowed to play in their bowl game?
- ◉ Based on the timing of Baylor's request for reinstatement, the first contest of the withholding condition was the initial game of the Big 12 conference tournament on March 10. Because of this, the policy established in 2005 allowing a suspension of the withholding for an NCAA championship under limited circumstances did not apply.